

A Select Bibliography of
Works in English
on
RUSSIAN
HISTORY
1801—1917

Compiled by
DAVID SHAPIRO
Nuffield College, Oxford

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**INDIAN INSTITUTE OF
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A SELECT BIBLIOGRAPHY OF
WORKS IN ENGLISH ON
RUSSIAN HISTORY 1801-1917



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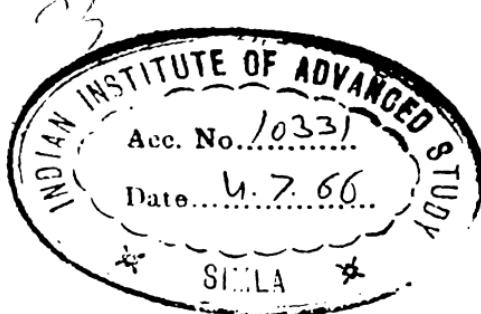
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Compiled by
DAVID SHAPIRO
Research Fellow of Nuffield College, Oxford

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OXFORD
1962

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PREFACE

This Bibliography has been compiled in the hope that it might serve, if very modestly, two purposes: first to stimulate more teaching of Russian history both by and to those who have no knowledge of the Russian language; secondly to furnish some notion of the present state of Western research on nineteenth and early twentieth-century Russian history.

It is commonly thought to be difficult to acquire an outline knowledge of Russian history unless one knows the language. This is a fallacy. Probably on no other non-English speaking country is there such a mass of recent scholarly writing in English, at least on relatively modern history. This Bibliography is in part a response to schoolmaster friends who have asked for some guide; the articles listed could be consulted most easily in university libraries, the more important books should surely be acquired by public libraries. One hopes for more teaching of Russian history; but this perhaps is a doctrine ill preached abroad by a member of a university where the undergraduate reading Modern History is given no opportunity for serious study of the most important event of modern history, the Russian Revolution.

The second, and subsidiary, purpose of this work is to show the concentration of past American and British research within this period of Russian history. Intellectual history and the rise and split of the Social Democratic movement have attracted much attention, the former because of the relative accessibility of sources, the latter because of obvious contemporary preoccupations. The recent publications of documents should revive a dormant interest in Russian diplomatic history; the administration of the Empire, the *zemstva* still await a Western historian.

This was intended as a labour, of probably misplaced missionary zeal, to be accomplished in five weeks of a Christmas vacation. Although it has in fact occupied the odd moments of a few further weeks, any user would be well advised to bear in mind the speed of compilation; he is earnestly desired further to forearm himself by reading the introductory notes. What merit he may find in this work is largely due to the advice, too often neglected for responsibility for error to be his, of Mr. J. S. G. Simmons, and to the wry good humour with which the members of the staff of the Bodleian Library and the publishers have borne with the compiler. The latter awaits the chastening pleasure of having his errors

pointed out, but is still bold enough to wonder if similar bibliographies of Russian history before 1801 and of Revolutionary and Soviet history would meet a demand.

Nuffield College, Oxford,
March 1962.

DAVID SHAPIRO.

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INTRODUCTION

1. SCOPE.

The Bibliography is limited to works primarily in English; a few publications of documents in French have been noted where these have been preceded by substantial prefatory matter in English. Both books and articles are included, the latter only from journals likely to be available in most university libraries. Only works of serious interest are included; this obviously subjective criterion has ruled out, for example, articles on this period of Russian history contributed to *History Today*.

The chronological limit of the period is February 1917; of the date of publication—December 1961.

Omissions that should be noted include: general bibliographies, general histories such as the *Cambridge Modern History*, British Parliamentary Papers, and, most important of all, any mention of individual works of Russian literature. It should hardly be necessary to point out that few of the books and articles here listed convey as much of the atmosphere of Russian history as do many novels, plays and short stories.

2. ARRANGEMENT.

No distinction is drawn between primary and secondary material. There is little of the former in English; what there is, mainly memoirs, is often less reliable than the secondary works.

Any system of classification is unsatisfactory. It is hoped that cross-references are sufficient to palliate the ills caused by the system adopted. The most awkward divisions are those between 'Foreign Relations' and 'Expansion', between 'Expansion' and 'Nationalities and Borderlands', and between 'Revolutionary Movement' and 'Social Thought'; the compiler can only hope that rough justice is done by terming Bakunin a revolutionary but Herzen a 'social thinker'.

3. DESCRIPTION.

For books the place (or, where applicable, the university press) and date of publication are given. The number of pages is stated for works in a single volume, in parentheses for those not inspected. Critical reviews are noted of books that are important or possibly misleading.

The following abbreviations are used:

Bibl.	Bibliography.
C.U.P.	Cambridge University Press.
L.	London.
N.Y.	New York.
O.U.P.	Oxford University Press.
Rev.	Review.
U.P.	University Press.
Var. pag.	Various paginations.

For articles, page references have been given; those not available in Oxford libraries are noted in parentheses. Abbreviations used for periodicals are listed below.

4. TRANSLITERATION.

That employed in the original titles has been retained except that: the unsightly, and expensive, use of diacritical type for Russian has been eliminated; and the shockingly illogical, and bibliographically disastrous, use of 'X' in place of 'Kh' has not been followed. (May one hope that Mouton and Co., who have used this device in, e.g., 877, will soon repent of their ways?) In the Index no attempt has been made to standardize transliteration; cross-references are provided where misapprehension might arise.

5. PERIODICALS.

These have been selected by the rule of thumb of availability as judged by Oxford libraries. Insofar as this criterion is rational, it excludes the more specialized regional journals, such as the *Annals of the Ukrainian Academy of Arts and Sciences in the U.S.* or the *Polish Review*, the newer transatlantic journals, such as *California Slavic Studies* or *Etudes slaves et est-européennes*. These journals are not on the open shelves of the Bodleian Library nor in the majority of British university libraries. A useful guide to periodicals in this field published in English is to be found at the beginning of the volumes listed in 8. What follows is merely a list of abbreviations used in this Bibliography.

LIST OF ABBREVIATIONS

<i>AgricHist</i>	<i>Agricultural History</i> (Washington).
<i>AHR</i>	<i>American Historical Review.</i>
<i>APSR</i>	<i>American Political Science Review.</i>
<i>ASEER</i>	<i>American Slavic and East European Review.</i>
<i>CambHJ</i>	<i>Cambridge Historical Journal.</i>
<i>ChH</i>	<i>Church History.</i>
<i>CSSH</i>	<i>Comparative Studies in Society and History</i> (The Hague).
<i>EcHR</i>	<i>Economic History Review.</i>
<i>EHR</i>	<i>English Historical Review.</i>
<i>HistJ</i>	<i>Historical Journal</i> (Cambridge)
<i>HSS</i>	<i>Harvard Slavic Studies.</i>
<i>IA</i>	<i>International Affairs</i> (London).
<i>IntRevSocH</i>	<i>International Review of Social History.</i> (Assen)
<i>JCEA</i>	<i>Journal of Central European Affairs.</i>
<i>JEcH</i>	<i>Journal of Economic History.</i>
<i>JHI</i>	<i>Journal of the History of Ideas.</i>
<i>JMH</i>	<i>Journal of Modern History.</i>
<i>JPol</i>	<i>Journal of Politics.</i>
<i>PHR</i>	<i>Pacific Historical Review.</i>
<i>PNQ</i>	<i>Pacific Northwest Quarterly.</i>
<i>PSQ</i>	<i>Political Science Quarterly.</i>
<i>RPol</i>	<i>Review of Politics.</i>
<i>RusR</i>	<i>Russian Review.</i>
<i>SEER</i>	<i>Slavonic and East European Review.</i>
<i>SovS</i>	<i>Soviet Studies.</i>
<i>TLS</i>	<i>Times Literary Supplement.</i>
<i>TRHS</i>	<i>Transactions of the Royal Historical Society.</i>

Note 1. *ASEER* changed its title, unhelpfully in mid-volume, from Vol. 20, No. 3 (Oct. 1961) to *Slavic Review*; its first 3 volumes are Vols. 19–21 of *SEER*, but American users may find them more readily in sets of *ASEER*.

2. *SEER* began as the *Slavonic Review*, modified its title slightly in 1928 and 1939, and assumed its present title in 1943.

The following abbreviations are used:

Bibl.	Bibliography.
C.U.P.	Cambridge University Press.
L.	London.
N.Y.	New York.
O.U.P.	Oxford University Press.
Rev.	Review.
U.P.	University Press.
Var. pag.	Various paginations.

For articles, page references have been given; those not available in Oxford libraries are noted in parentheses. Abbreviations used for periodicals are listed below.

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<i>ChH</i>	<i>Church History.</i>
<i>CSSH</i>	<i>Comparative Studies in Society and History</i> (The Hague).
<i>EcHR</i>	<i>Economic History Review.</i>
<i>EHR</i>	<i>English Historical Review.</i>
<i>HistJ</i>	<i>Historical Journal</i> (Cambridge)
<i>HSS</i>	<i>Harvard Slavic Studies.</i>
<i>IA</i>	<i>International Affairs</i> (London).
<i>IntRevSocH</i>	<i>International Review of Social History.</i> (Assen)
<i>JCEA</i>	<i>Journal of Central European Affairs.</i>
<i>JEcH</i>	<i>Journal of Economic History.</i>
<i>JHI</i>	<i>Journal of the History of Ideas.</i>
<i>JMH</i>	<i>Journal of Modern History.</i>
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2. *SEER* began as the *Slavonic Review*, modified its title slightly in 1928 and 1939, and assumed its present title in 1943.

1. BIBLIOGRAPHY

Note, in addition to the standard general bibliographies, those annotated in, e.g., 40, 78, 175, 266, 272, 273; annual bibliographies in *RusR*, *JCEA*, now superseded by 8.

See also 228.

1. Kerner, Robert J., *Slavic Europe: A Selected Bibliography in the Western European Languages comprising History, Languages, and Literatures*. Harvard U.P., 1918. Pp. xxiv, 402.
2. Kerner, Robert J., 'The Foundations of Slavic Bibliography', in *Papers of the Bibliographical Society of America*, Vol. 10, No. 1 (1916), pp. 3-39.
3. Kerner, Robert J., *Northeastern Asia: A Selected Bibliography*. 2 vols. U. of California P., 1939.
4. Morley, Charles, *Guide to Research in Russian History*. Syracuse U.P., 1951. Pp. xiii, 227.

Most material listed is in Russian.

5. Walsh, Warren B., *Russia Under Tsars and Commissars: A Reader's Guide*. Syracuse U.P., 1946. [Pp. 38.]
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Rev: D. W. Treadgold, *ASEER* 19, pp. 475-7.
7. Dossick, Jesse J., *Doctoral Research on Russia and the Soviet Union*. New York U.P., 1960. Pp. 248.
Includes references to the principal printed works in English.
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This is an annual publication. The first volume, for 1956, 'covered thoroughly only the humanities'; but subsequent volumes, 'cover both the social sciences and the humanities.'
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A bibliographical review article on the period June 1915-Nov. 1917.
10. Ettlinger, Amrei and Gladstone, J. M., *Russian Literature, Theatre and Art. A Bibliography of Works in English, Published 1900-45*. L., 1947. Pp. 96.

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See also 98, 837-8.

12. Mazour, Anatole G., *Modern Russian Historiography*. Princeton, 1958. Pp. xii, 260; bibl.
Rev: J. D. Clarkson, *RusR* 18, pp. 247-8; M. Szeftel, *ASEER* 18, pp. 250-2. This is the second edition, much enlarged, of a work originally published in 1938.
13. Black, Cyril, editor, *Re-writing Russian History: Soviet Interpretations of Russia's Past*. N.Y., 1956; L., 1957. Pp. xv, 413.
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Contains essays on Klyuchevsky, Miliukov and Pokrovsky (listed below).
15. Shulgin, A., 'Mykhailo Hrushevsky (1866-1934)', in *SEER*, Vol. 14 (July 1935), pp. 176-81.
16. Kiesewetter, A., 'Klyuchevsky and his *Course of Russian History*', in *SEER*. Vol. 1 (March 1923), pp. 504-22.
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18. Maklakov, B., 'Klyuchevsky', in *SEER*, Vol. 13 (Jan. 1935), pp. 320-9.
19. Parry, A., 'Vasily Ossipovich Klyuchevsky (1841-1911)', in *SEER*, Vol. 14, pp. 196-216.
20. Goldenweiser, A., 'Paul Miliukov—Historian and Statesman', in *RusR*, Vol. 16, No. 2 (1957), pp. 3-14.
21. Churchill, R. P., 'Paul Nikolayevich Miliukov (1859-)' in *SEER*, Vol. 14, pp. 324-48.

22. Hall, T. R., 'Mikhail Nikolayevich Pokrovsky (1868-1932)', in 14, pp. 349-66.
23. Pares, B., 'Peter Struve', in *SEER*, Vol. 23 (Jan. 1945), pp. 141-5.
24. Loewenson, L., 'The Historian Alexander Brückner, 1834-1896: A Neglected Page of Russian Historiography', in *SEER*, Vol. 25 (Nov. 1946), pp. 149-58.
25. Meyendorff, A., 'Otto Hoetzsch, 1876-1946: Some Recollections', in *SEER*, Vol. 25 (Aug. 1947), pp. 496-507.
26. Loewenson, L., 'Otto Hoetzsch: A Note', in *SEER*, Vol. 30 (June 1952), pp. 549-51.
27. Loewenson, L., 'Karl Stählin, 1865-1939: A Chapter of German Historiography on Russia', in *SEER*, Vol. 28 (Nov. 1949), pp. 152-60.

3. ENCYCLOPAEDIAS, HANDBOOKS, SURVEYS

30 is listed as a caution. 31 and 32 contain important studies.

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29. Utechin, Sergei V., *Everyman's Concise Encyclopaedia of Russia*. L. & N.Y., 1961. Pp. xxvi, 623.
30. Rousek, Joseph S., editor, *Slavonic Encyclopaedia*. N.Y., 1949. Pp. xi, 1445.
To be used with caution.
 Rev: S. H. Thomson, *JCEA* 9, pp. 330-2; C. E. Black, *AHR* 55, pp. 366-8.
31. Black, Cyril E., editor, *The Transformation of Russian Society: Aspects of Social Change since 1861*. Harvard U.P., 1960. Pp. vii, 695.
32. McLean, Hugh, *et al*, editors, *Russian Thought and Politics*. (HSS, Vol. 4) 's—Gravenhage, 1957. Pp. xi, 513.

4. GENERAL HISTORY

A.

33 is the best survey; Vol. 2 covers the period 1801–1917 in 850 pp.; 34 is a well-established English textbook; 35 is sketchy on the nineteenth century, but mentioned as it is probably the greatest work of Russian history (on the author, see 16–19). 37 is a nineteenth century classic, to which cf. 105x.

For this period, there is not much to choose between the American textbooks, 46–52; 39 and 40 are both good treatments.

Of more popular works not listed below, John Maynard, *Russia in Flux: Before October* (L., 1939—and see note to 608) is still a useful introduction.

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Rev: N. V. Riasanovsky, *RusR* 14, pp. 60–64; *TLS* 23 July 1954, p. 476; J. Blum, *AHR* 59, pp. 619–20.
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35. Kluchevsky, Vasilii O., *A History of Russia*. (Trans. from Russian) 5 vols. L., N.Y., 1911–31; reprinted, N.Y., 1960.
Only the last five chapters of Vol. 5 are on the nineteenth century. The translation is bad.
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Rev: M. Karpovich, *RusR* 3, p. 107. Published in the U.S.A. as *A Short History of Russia*. The reference notes to the second (English only) edition are valuable, and are retained in the 1961 edition (L. Pp. 470.).
39. Seton-Watson, Hugh, *The Decline of Imperial Russia, 1855–1914*. L. & N.Y., 1952. Pp. xvi, 406; bibl.
Rev: D. von Mohrenschmidt, *ASEER* 12, pp. 396–8; *TLS* 28 Nov. 1952, p. 781; N. V. Riasanovsky, *JMH* 26, pp. 282–4.

40. Treadgold, Donald W., *Twentieth Century Russia*. Chicago, 1959. Pp. xiii, 550; annotated bibl.
41. Karpovich, Michael, *Imperial Russia, 1801-1917*. N.Y., 1932 (and reprints). Pp. viii, 106; bibl.
42. Kornilov, Alexander, *Modern Russian History from the Age of Catherine the Great to the End of the Nineteenth Century*. 2 vols. N.Y. & L., 1916 (and subsequent one-volume reprints).
Rev: A. C. Coolidge, *AHR* 23, pp. 148-9. The translation, from the Russian, is not entirely satisfactory.
43. Kucharzewski, Jan, *The Origins of Modern Russia*. N.Y., 1948. Pp. xxi, 503.
Rev: J. S. Curtiss, *JCEA* 8, pp. 289-90. An unsatisfactory translation of a condensation of a Polish work.
44. Mirsky, Dmitri S., *Russia: A Social History*. L., 1931. Pp. xix, 312, xxi.
45. Miliukov, Paul, *Outlines of Russian Culture*. (Trans. and much abridged from the Russian). 3 vols. U. of Pennsylvania P., 1942 (and subsequent one-volume reprints).
Rev: D. F. White, *ASEER* 8, p. 67; A. Yarmolinsky, *AHR* 48, pp. 312-4. The translation is of parts of the 1931 (Paris) edition of Vol. 2 of the original work. Good on religion, literature, the arts.
46. Vernadsky, George, *A History of Russia*. Yale U.P., 1929 (and revised editions). Var. pag.; bibl.
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53. Weidlé, Wladimir, *Russia Absent and Present*. (Trans. from the French) L. & N.Y., 1952. Pp. vi, 153.
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B. 1801-1825

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5. FOREIGN RELATIONS

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7. ADMINISTRATION AND LAW

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D. BELORUSSIA

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Rev: O. P. Backus, *JCEA* 17, pp. 413-5; R. E. Pipes, *AHR* 62, pp. 138-9.
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E. POLES

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Other important works: **260**, **267**, **324-7**, **702**.
See also: **1013-4**.

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G. UKRAINE

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See also 15.

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J. CENTRAL ASIA AND MOSLEMS

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On international complications: 334-5, 354-5. See also the regional heading under §20. There is much contemporary British material beside 735-46: the works of Demetrius C. Boulger, Frederick G. Burnaby, George Dobson, Charles Marvin, Hugo Stumm.

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14. REVOLUTIONARY MOVEMENTS

A. GENERAL

This subject has attracted more recent scholarly work in English than any save, perhaps, social and political thought. But it is not clear that revolutionary movements deserves such stress in Russian history *before* 1917; the 1905 Revolution, which still awaits an English history (some references are collected under §14 C), owed little to the movement as recorded below. 750 is the best introduction. 751 is already a classic. See also: 864. On the Decembrists: 70-77; on labour history: 593-9, and on 'police socialism': 485-6.

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B. BAKUNIN TO THE POPULISTS

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See also: Dmitry Pisarev, *Selected Philosophical, Social and Political Essays* (Moscow, 1958).
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C. EARLY MARXISM AND LEGAL POPULISM

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On 1905: 146-8, 545; also two contemporary works: G. H. Perris, *Russia in Revolution* (1905); Koni Zilliacus, *The Russian Revolutionary Movement* (1905).

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D. THE BUND

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E. THE SR'S

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F. LENIN AND BOLSHEVISM

Later interest has exaggerated the pre-revolutionary influence of Lenin and Bolshevism, but has stimulated some minute investigations. 796 is the best introduction, but, like 802 and 804, should not be uncritically accepted on events outside the revolutionary movement.

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 The works are available in English, apart from selections in 2 vols., in three editions: an incomplete *Collected Works* was published 1927-42, of which Vols. 4, 13 and 18-21, some in two parts, were issued; a *Selected Works* in 12 vols. was published 1936-39, a Stalinist selection; the edition of the *Collected Works* now being published is a translation of the Russian fourth edition with corrections from the fifth. See also 566.
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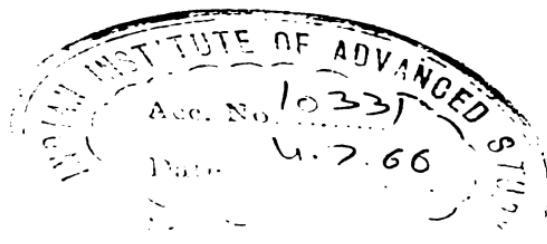
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